

# Nucleation and Growth of Atmospheric Aerosols

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## *Acknowledgments*

**Jim Smith, Fred Eisele , Jeff Rathbone, Lee Mauldin, Kelley Barsanti and others (NCAR)**

**Ken Iida, Chongai Kuang, Mark Emery, Mari Titcombe,**

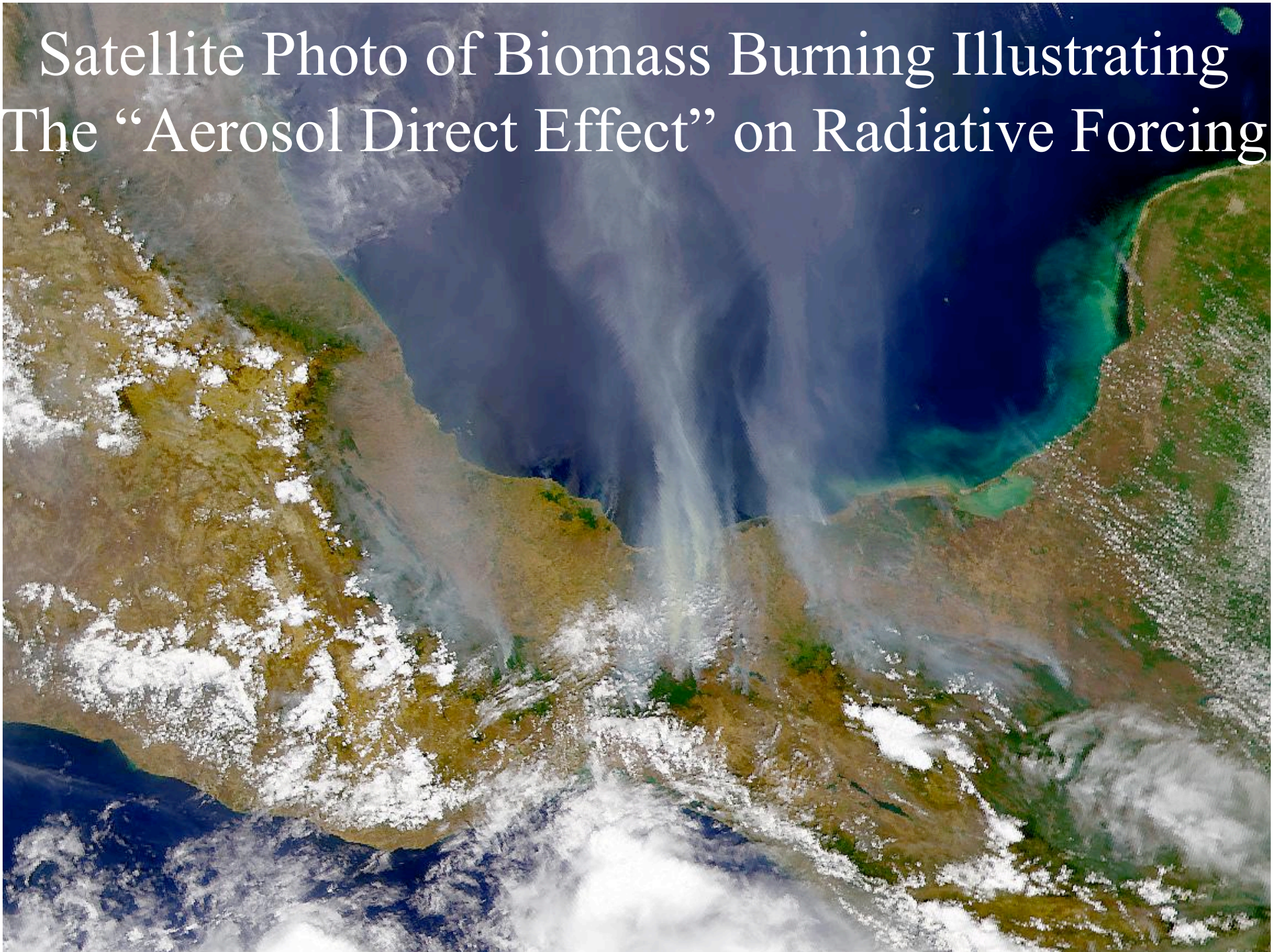
**Hiromu Sakurai, Mark Stolzenburg, Jeff Roberts, Alon McCormick(UMN)**

**DOE ASP, NSF NIRT**

**NOSA, 11/8//07**

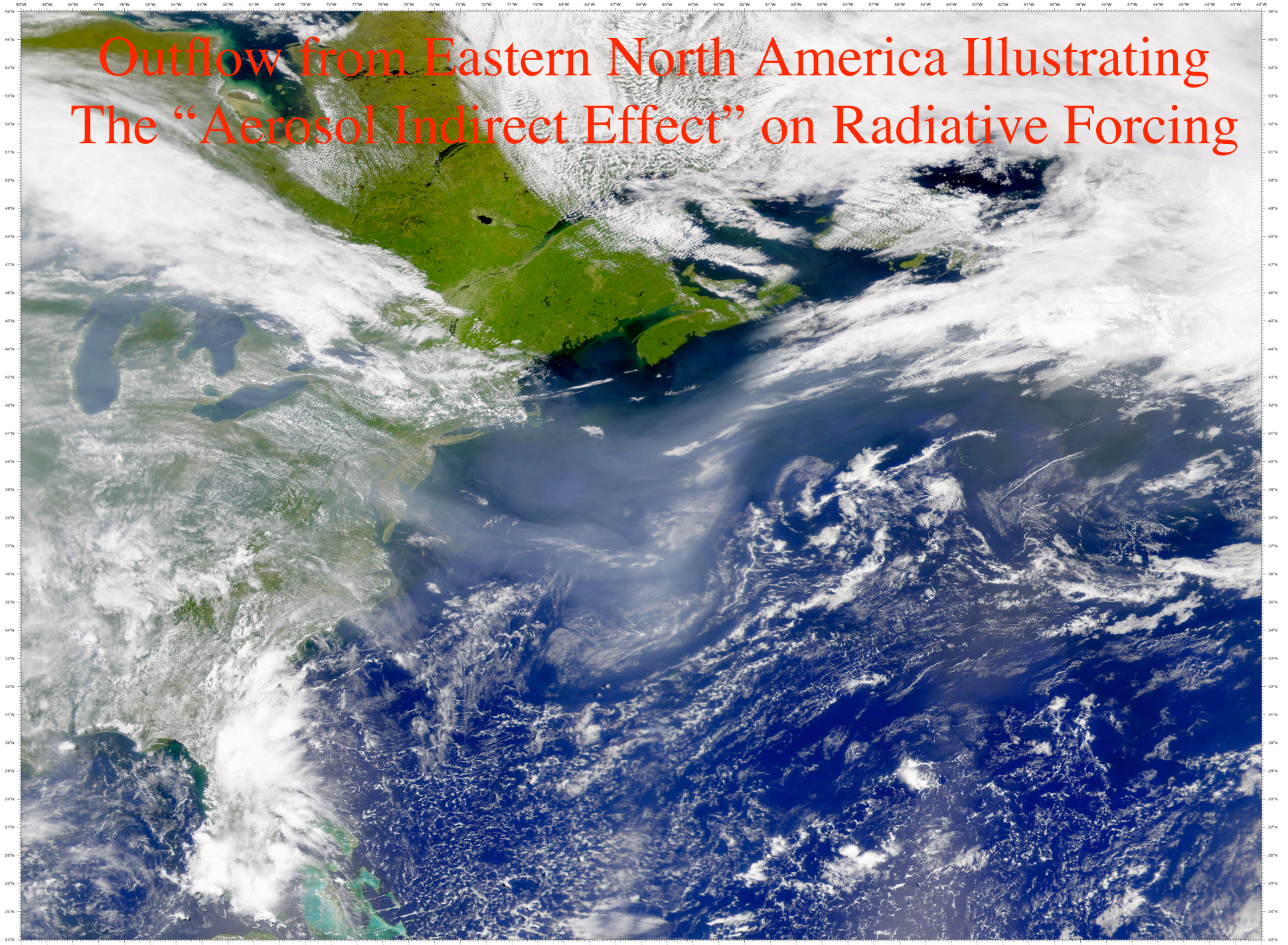


# Satellite Photo of Biomass Burning Illustrating The “Aerosol Direct Effect” on Radiative Forcing





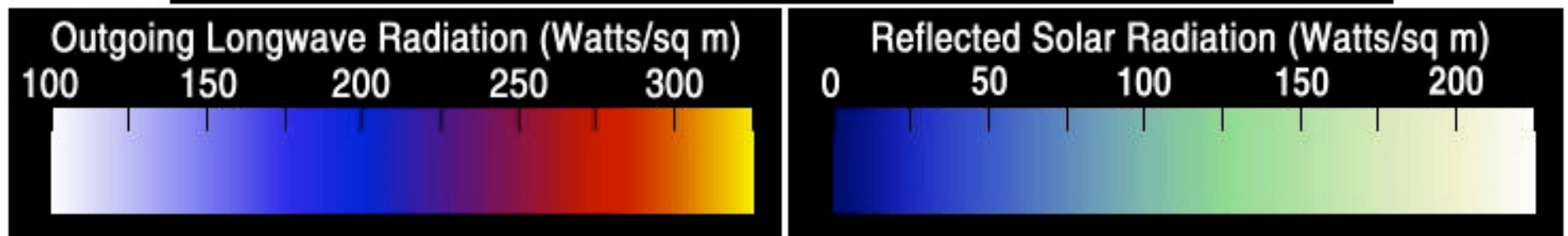
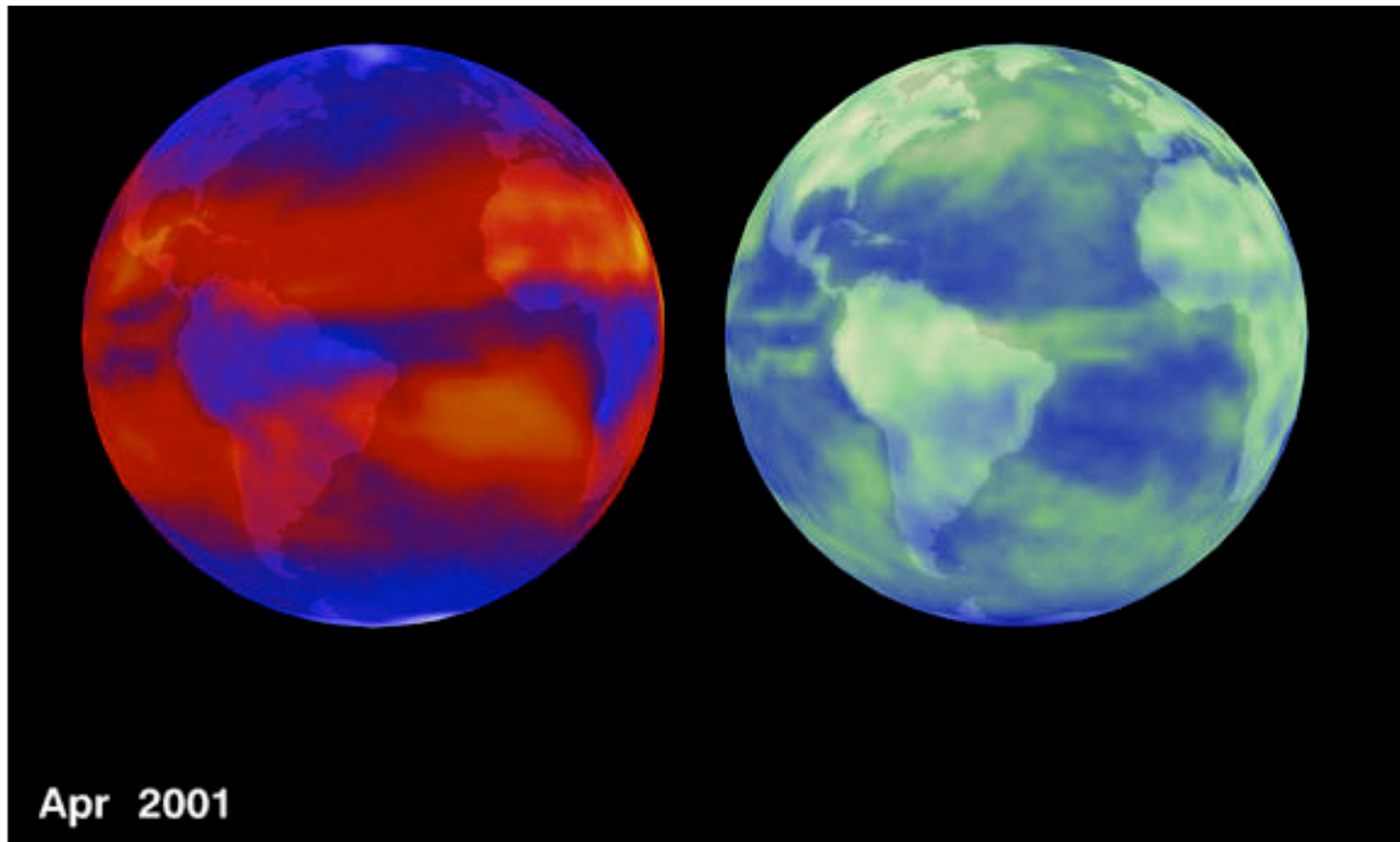
# Outflow from Eastern North America Illustrating The “Aerosol Indirect Effect” on Radiative Forcing





# IR and Reflected Visible Radiation, April 2001

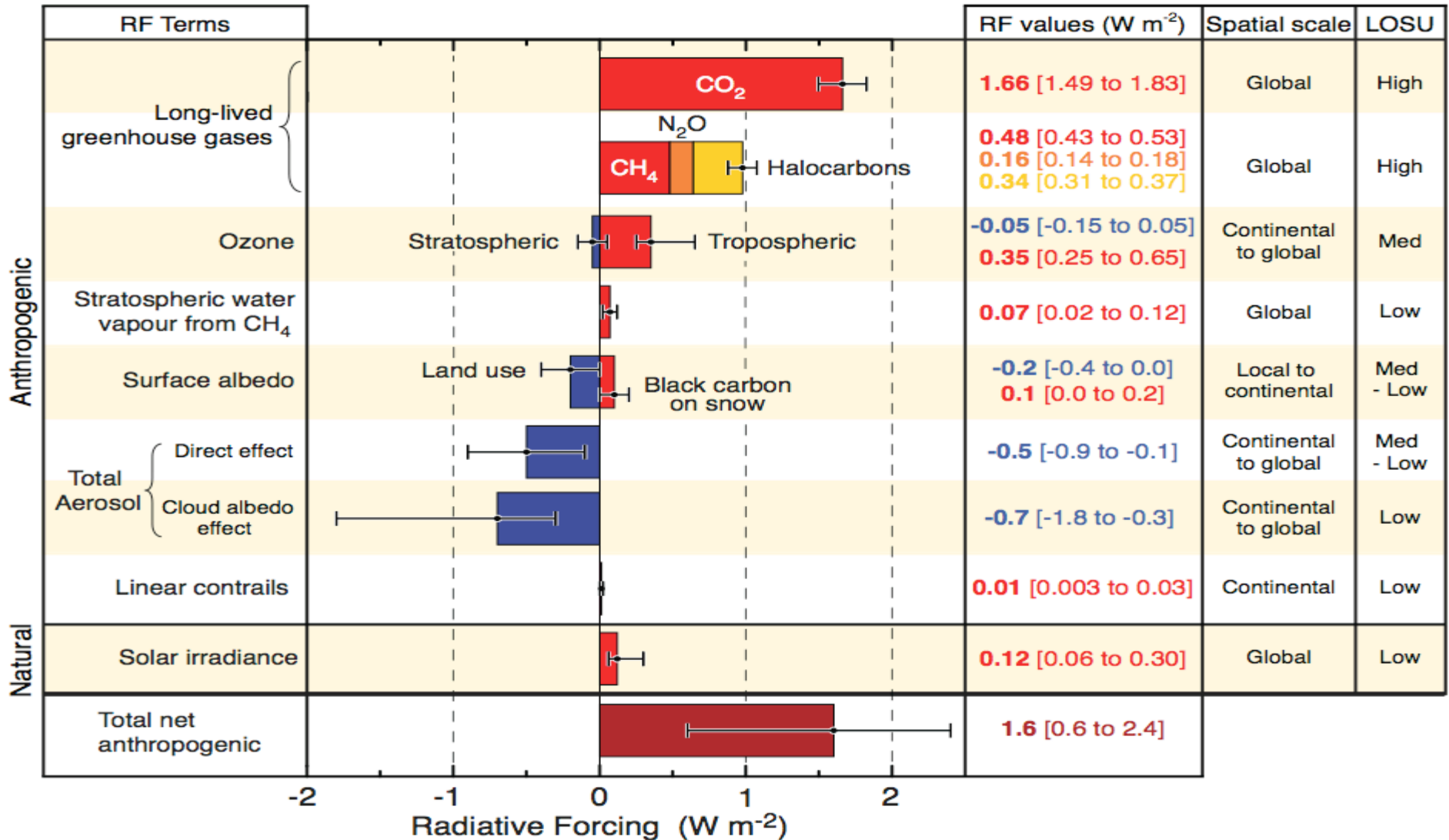
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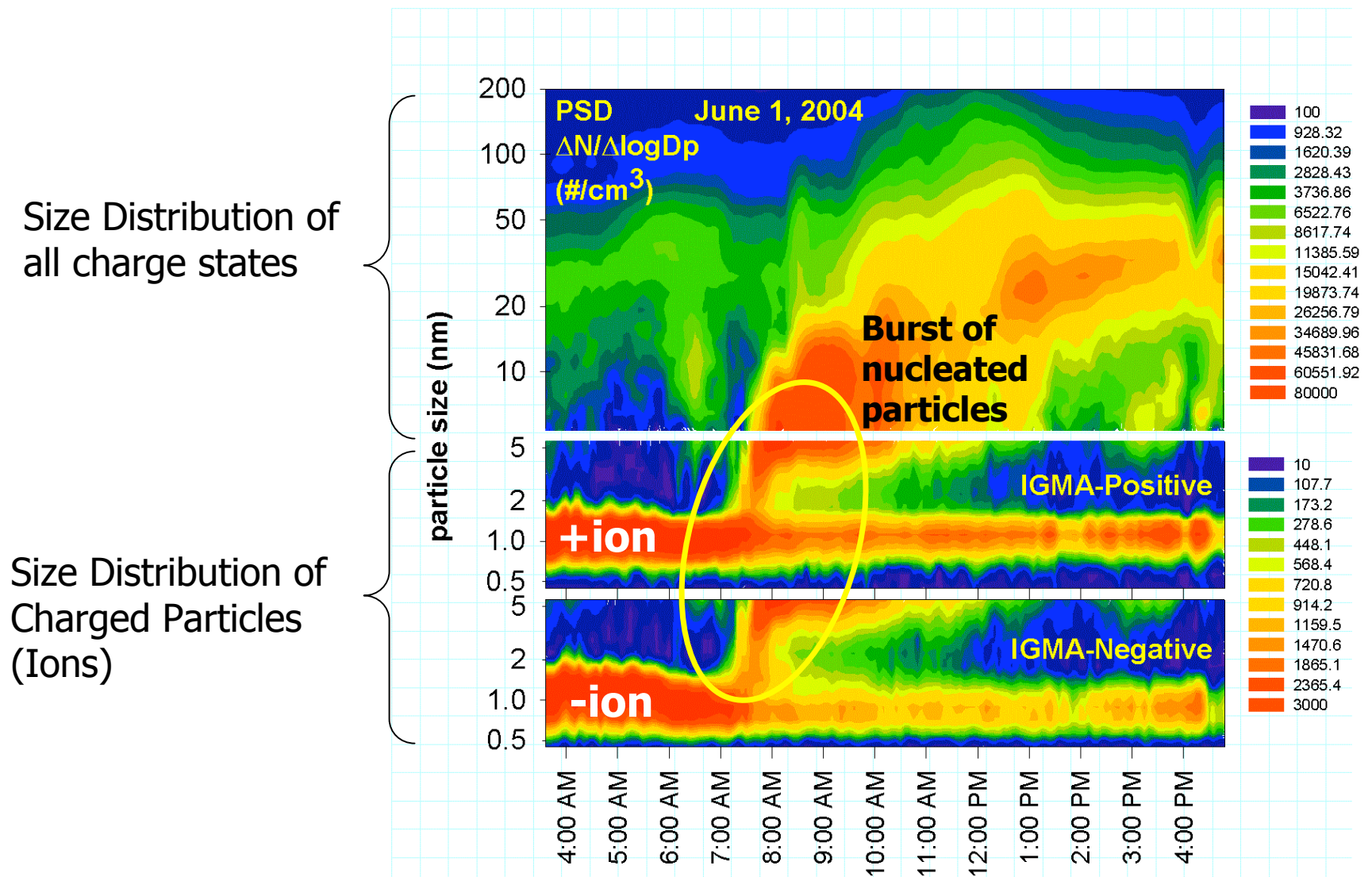
# Global-average radiative forcing estimates and ranges (IPCC 2007)

## Radiative Forcing Components





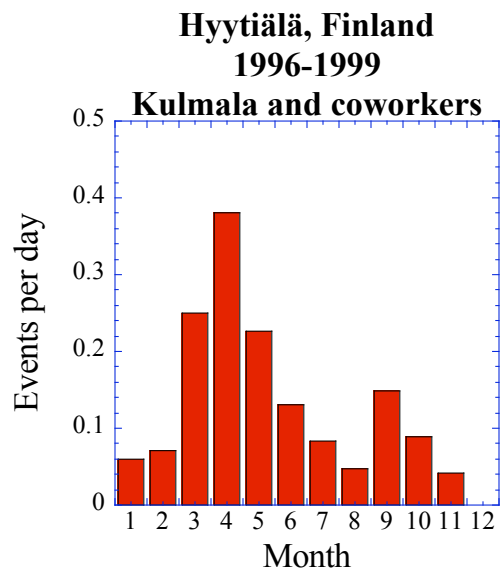
# New Particle Formation (NPF) Event Boulder, CO



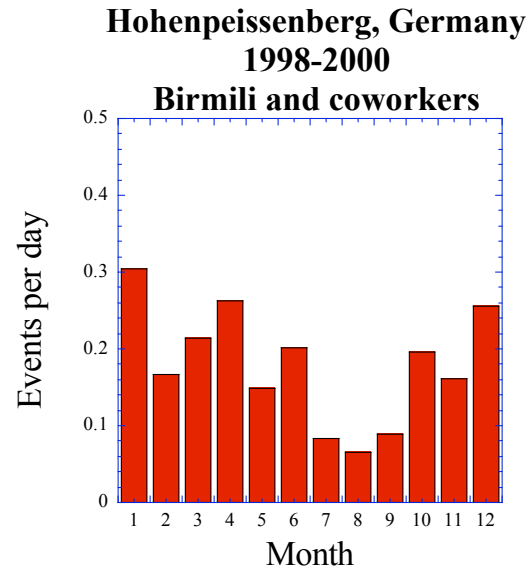


# Frequency of Regional NPF Events at Three Locations

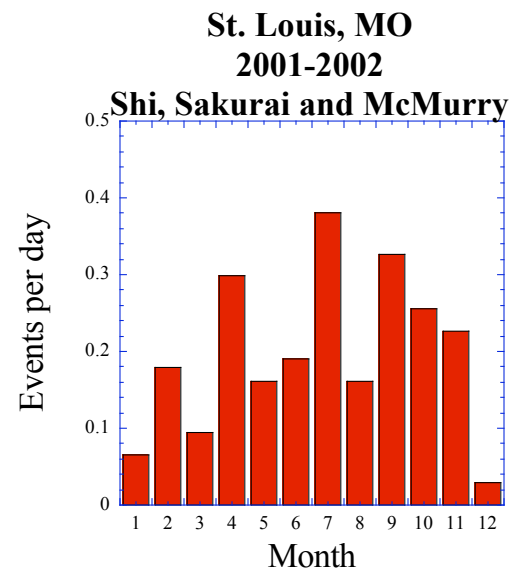
(Kulmala, McMurry et al., JAS, 2004)



Finnish Boreal  
Forest



Continental  
Europe



Major U.S. City



John Aitken, 1911-12, “The Sun as a Fog Producer,”  
Proc. Royal Society of Edinburgh XXXII.

- Sunlight on air containing SO<sub>2</sub> produces particles
- Radioactivity has the same effect (ion-induced nucleation)
- NH<sub>3</sub>, H<sub>2</sub>O<sub>2</sub> & O<sub>3</sub> enhance particle formation by SO<sub>2</sub>
- Combustion products produce “very great numbers of nuclei”

*“Though this investigation clearly shows that the sun produces certain kinds of fogs yet is by no means here contended that it is to be censured for their appearance. It would rather appear that it is doing its best to show us the state of pollution into which our modern civilisation has brought our atmosphere...”*

# Why is *New Particle Formation* Important?

- It occurs frequently throughout the troposphere
- It appears to be an important source of Cloud Condensation Nuclei (CCN)
  - *Aerosol Indirect Effect* on Radiative Forcing



# Key Scientific Questions Regarding NPF

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- *What are the physical/chemical processes that control the rates at which new particles (stable molecular clusters) are **produced** (i.e., the nucleation rates,  $J$ )?*
- *What are the physical/chemical processes that control the rates at which freshly nucleated particles **grow**?*

What do we understand about factors that influence new particle formation rates,  $J$ ?

-Dependence on “ $L$ ” (scavenging parameter)

-Dependence on  $[H_2SO_4]$



# Scavenging Parameter “L”

$$L = \frac{\text{Loss Rate to Preexisting Particles}}{\text{Loss Rate to Larger Clusters}}$$

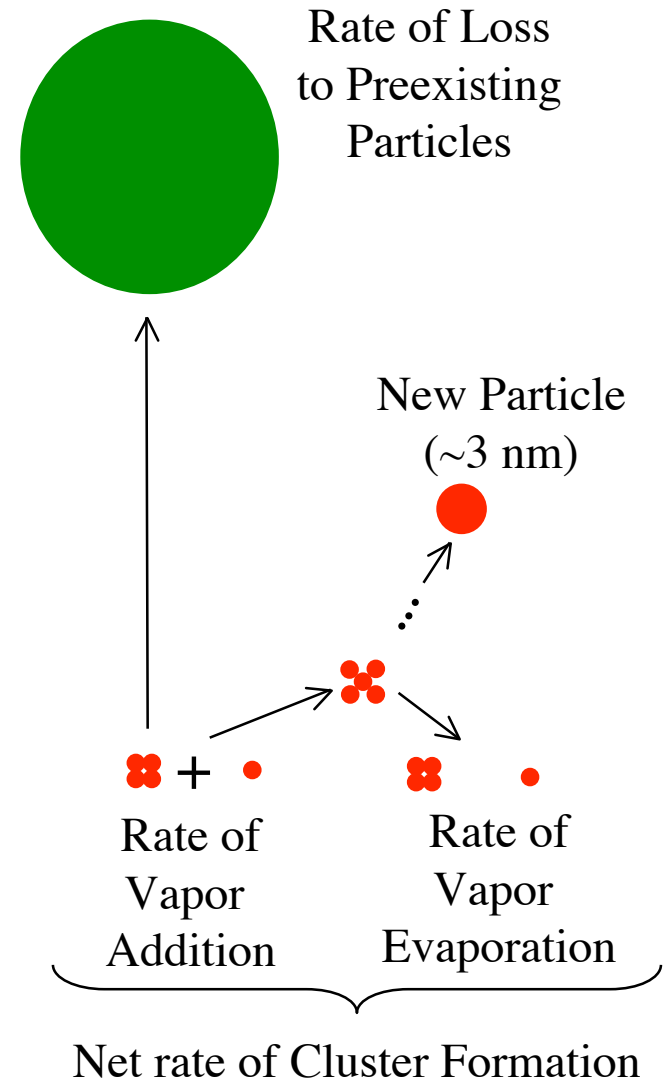
$$= \frac{\bar{c}_1}{4\beta_{11}} \frac{A_{\text{Fuchs}}}{[\text{H}_2\text{SO}_4]}$$

(if  $\text{H}_2\text{SO}_4$  is the condensing vapor)

$A_{\text{Fuchs}}$  = Aerosol "surface area"

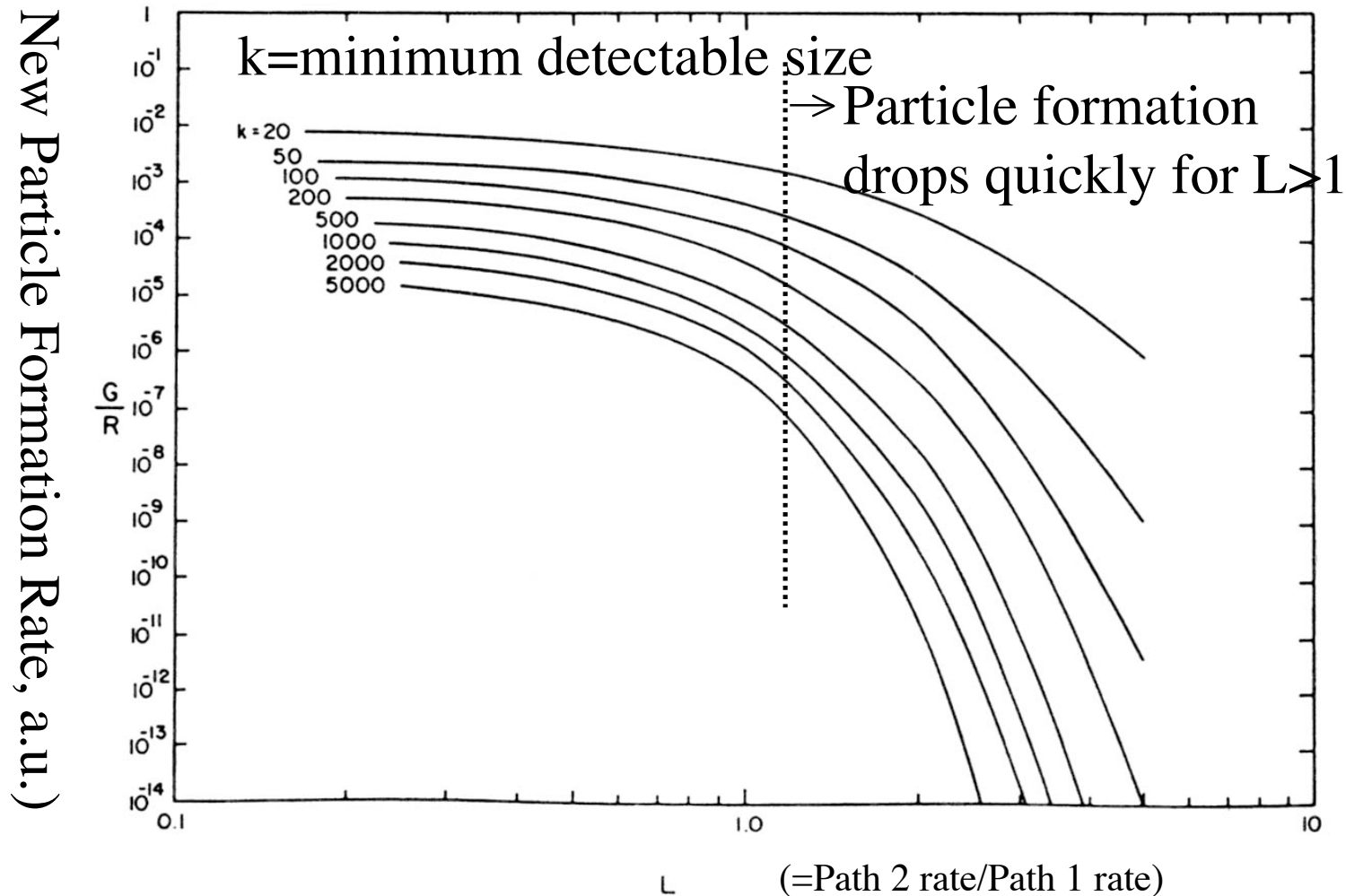
$\bar{c}_1$  = Mean Thermal Speed of Vapor

$\beta_{11}$  = Forward rate constant



# Dependence of New Particle Formation Rates on “L” for Collision-Controlled Nucleation

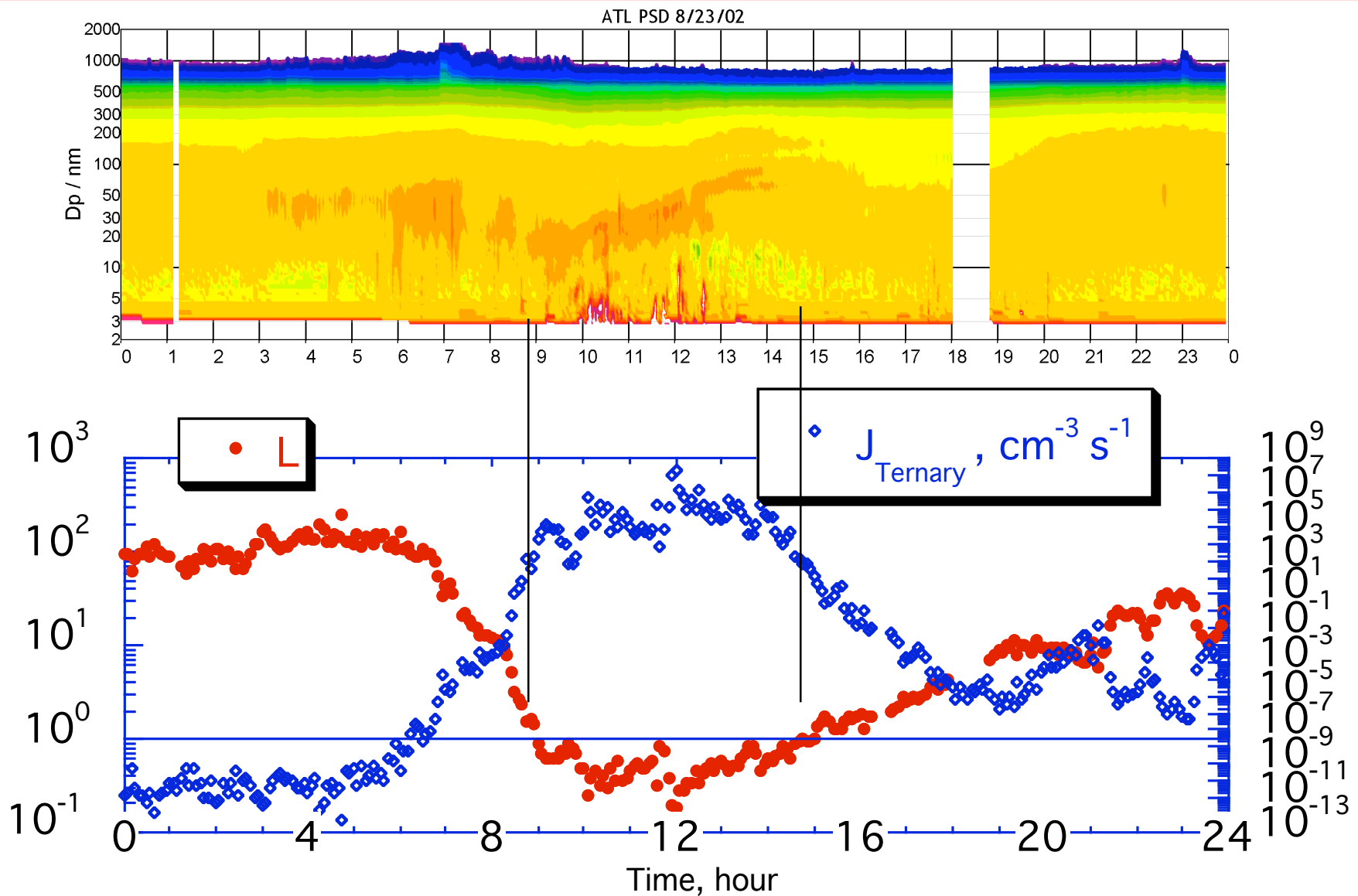
McMurry, JCIS, 95, 72, 1983





# Nucleation & Growth Event Atlanta, GA, Aug 23, 2002

(McMurry et al, JGR, doi:10.1029/2005JD005901, 2005)

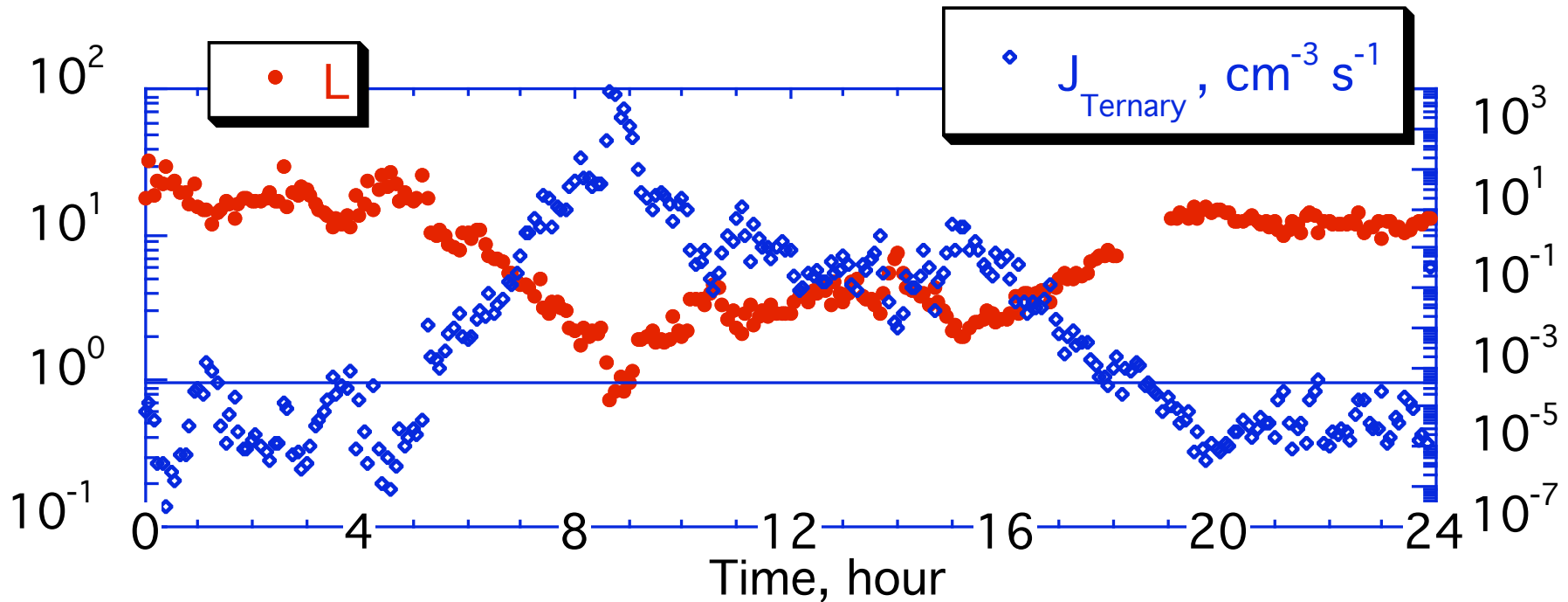
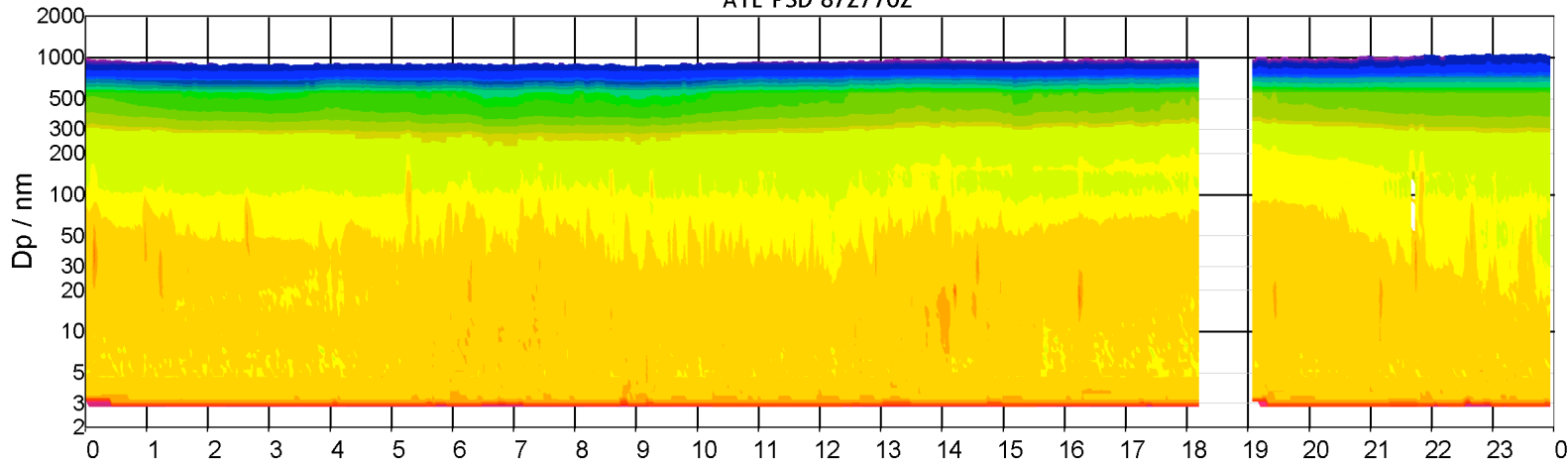


# Day with No Nucleation & Growth

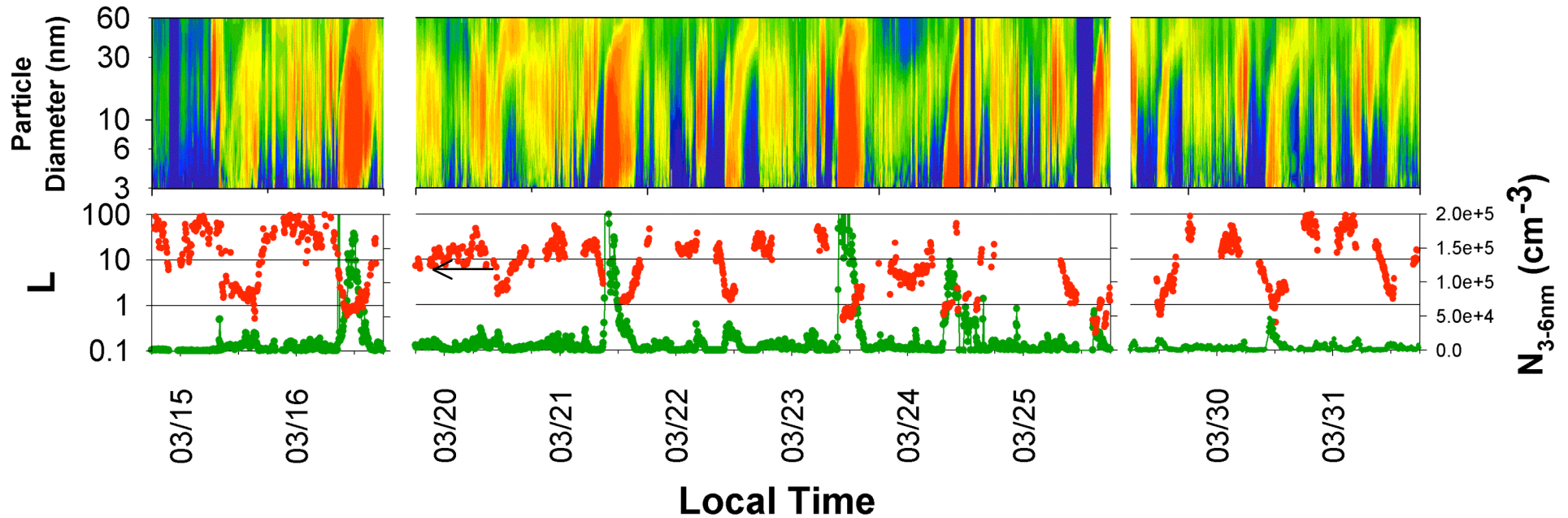
## Atlanta, GA, Aug 27, 2002

(McMurry et al, JGR, doi:10.1029/2005JD005901, 2005)

ATL PSD 8/27/02



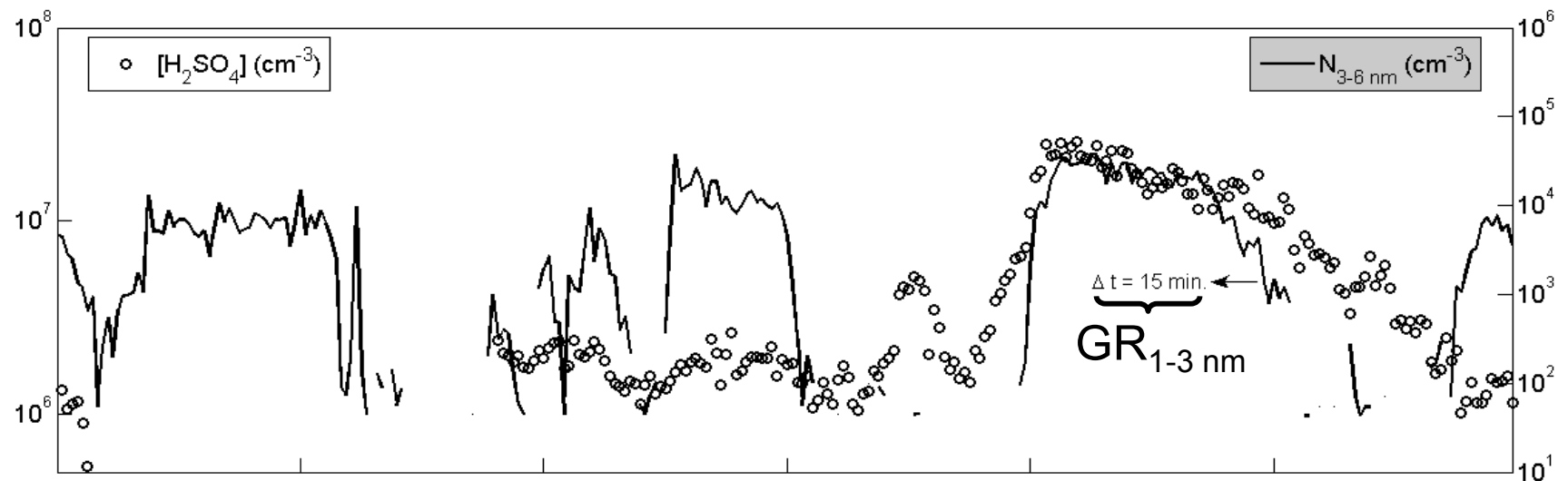
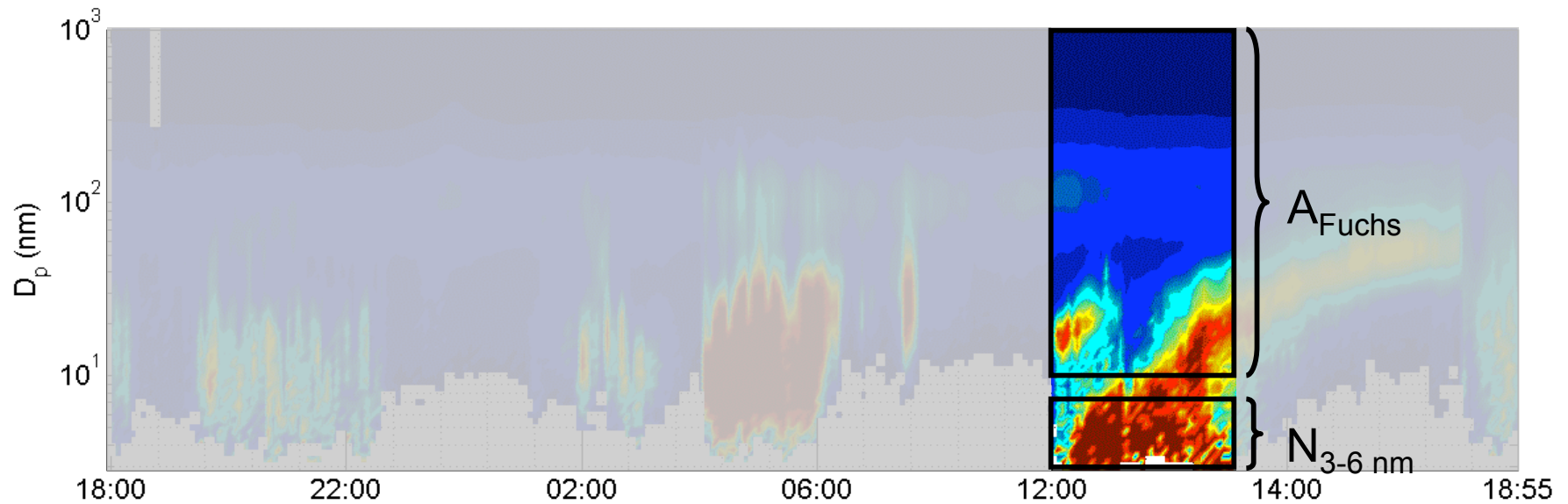
# NPF Occurred Frequently in Mexico City, and was observed when “L” Dropped Below 1



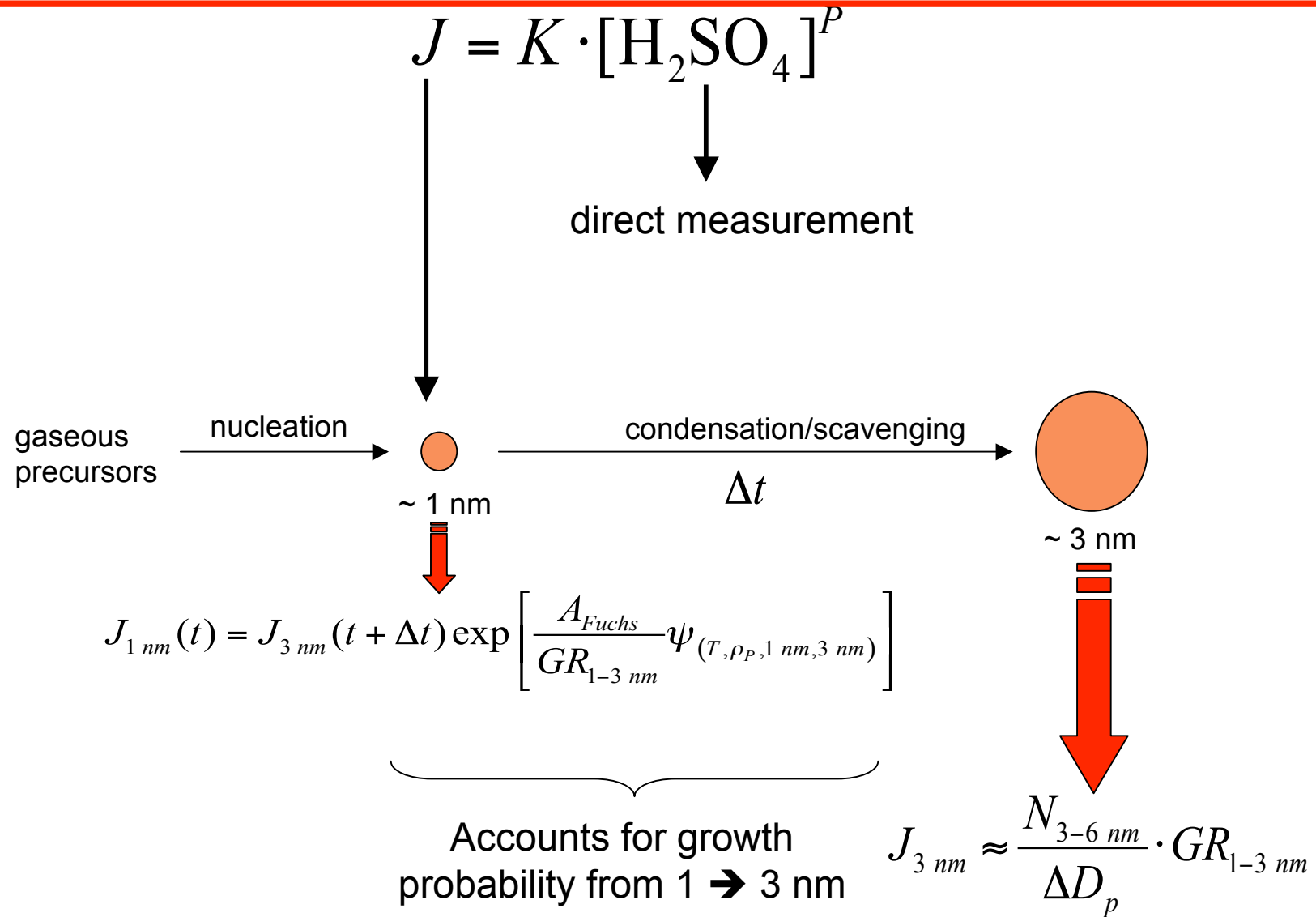
*Iida, McMurry et al., 2007*



# Dependence of J on H<sub>2</sub>SO<sub>4</sub>: Mexico City, March 2006

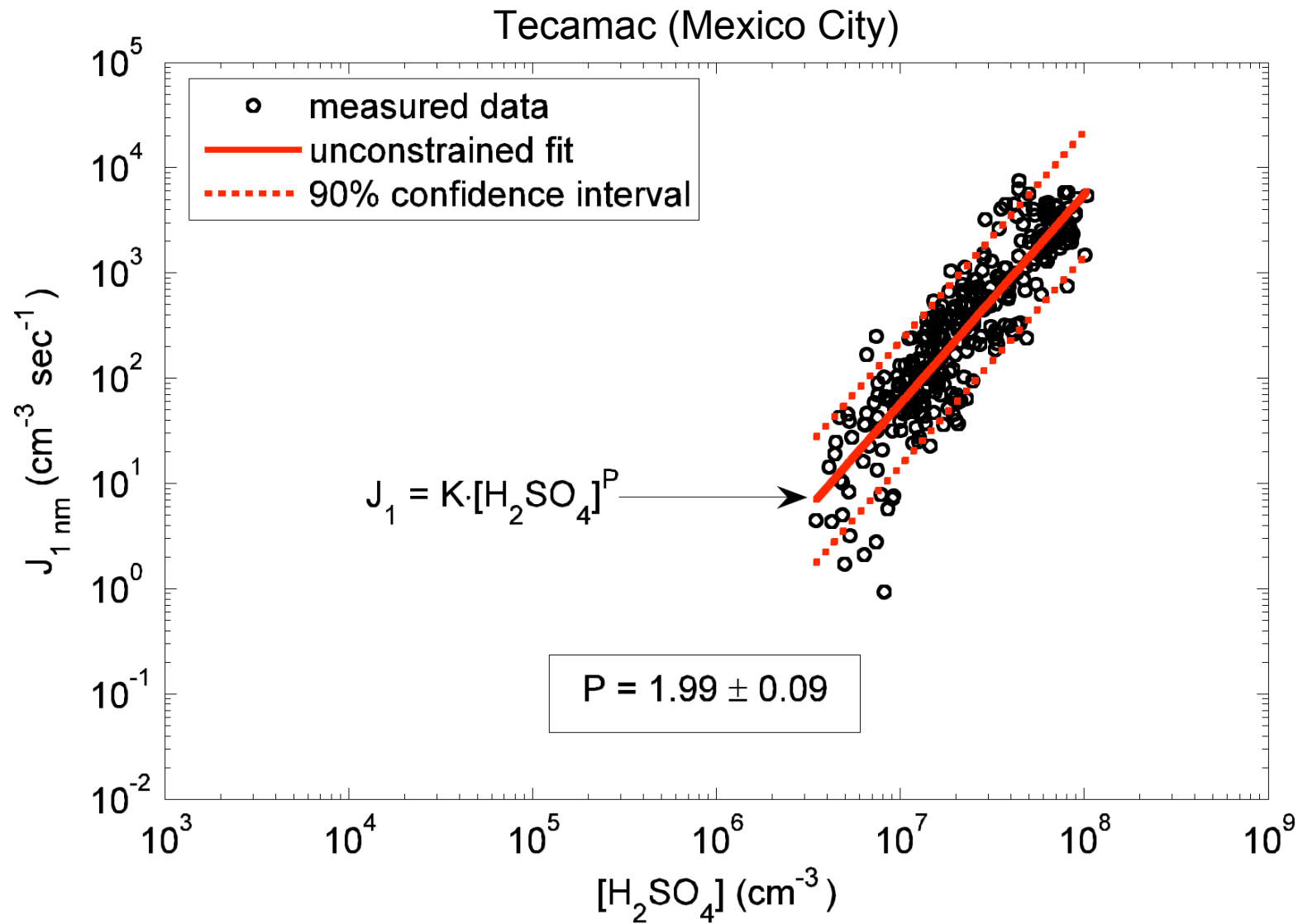


# Estimating $J_{1 \text{ nm}}$ from $J_{3 \text{ nm}}$



$$J_{1 \text{ nm}} = K[\text{H}_2\text{SO}_4]^2$$

Kuang et al, 2007, submitted





## Best-Fit Parameters $P$ and $K$ for all observations

Location	Air Mass Type	$P^a$	$\text{Log } K_{kinetic}^a$
Tecamac	City	$1.99 \pm 0.09$	$-12.2 \pm 0.59$
Atlanta	City	$2.01 \pm 0.35$	$-13.8 \pm 0.98$
Boulder	Small City	$1.98 \pm 0.23$	$-13.4 \pm 0.83$
Hyytiälä	Boreal Forest	$1.99 \pm 0.11$	$-12.4 \pm 0.49$
Idaho Hill	Mountain Forest	$2.04 \pm 0.27$	$-10.8 \pm 1.03$
Mauna Loa	Marine/Volcanic	$2.00 \pm 0.16$	$-12.3 \pm 0.40$
Macquarie Island	Marine/Biogenic	$2.00 \pm 1.94$	$-14.0 \pm 0.90$
hard-sphere collision theory – $\text{Log } K_{hard-sphere}$			-9.39

- ◆  $P = 2 \rightarrow$  critical cluster contains 2  $\text{H}_2\text{SO}_4$  molecules
- ◆ Suggests bimolecular nucleation mechanism
- ◆  $K_{kinetic}$  several orders of magnitude below hard-sphere collision limit
- ◆  $K_{kinetic}$  varies with environment

<sup>a</sup> 90% Confidence Interval

*Kuang et al., submitted 2007*

# What do we understand about Nucleation Rates ( $J$ ) and New Particle Formation Rates ( $J_{3\text{ nm}}$ )?

- *Nucleation* might occur every day.
- *New Particle Formation*, however, only occurs when  $L < 1$ .
- $J = K[\text{H}_2\text{SO}_4]^2$ ;  $K$  Varies with Location
- *Ion-Induced Nucleation* only contributes a small fraction (<10%) of NPF in Boulder and Mexico City.

# Current work aimed at understanding reasons for $p=2$ and variability in K

- Cluster-CIMS\*: Measurement of neutral molecular clusters (Fred Eisele, Lee Mauldin, Jeff Rathbone, NCAR)
- Bridging the gap: Molecular clusters to nanoparticles

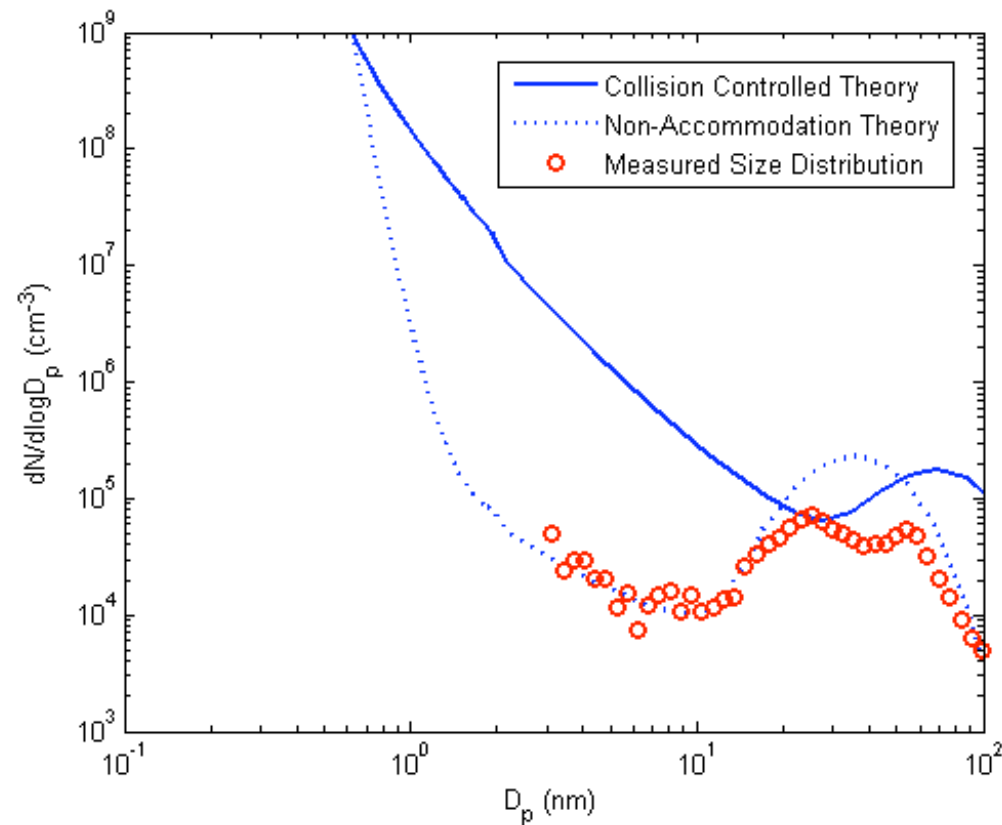
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\*Cluster Chemical Ionization Mass Spectrometer



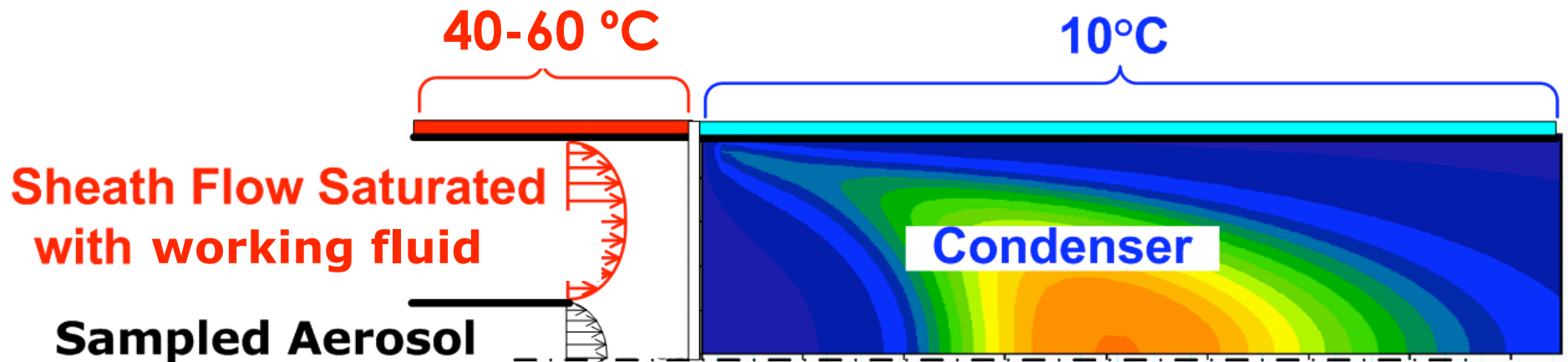
# Comparison of Measured and Collision-Controlled Size Distributions

Atlanta, August 19, 2002, 12:37



# Design of Laminar Flow 1 nm Condensation Particle Counter (Kenjiro Iida)

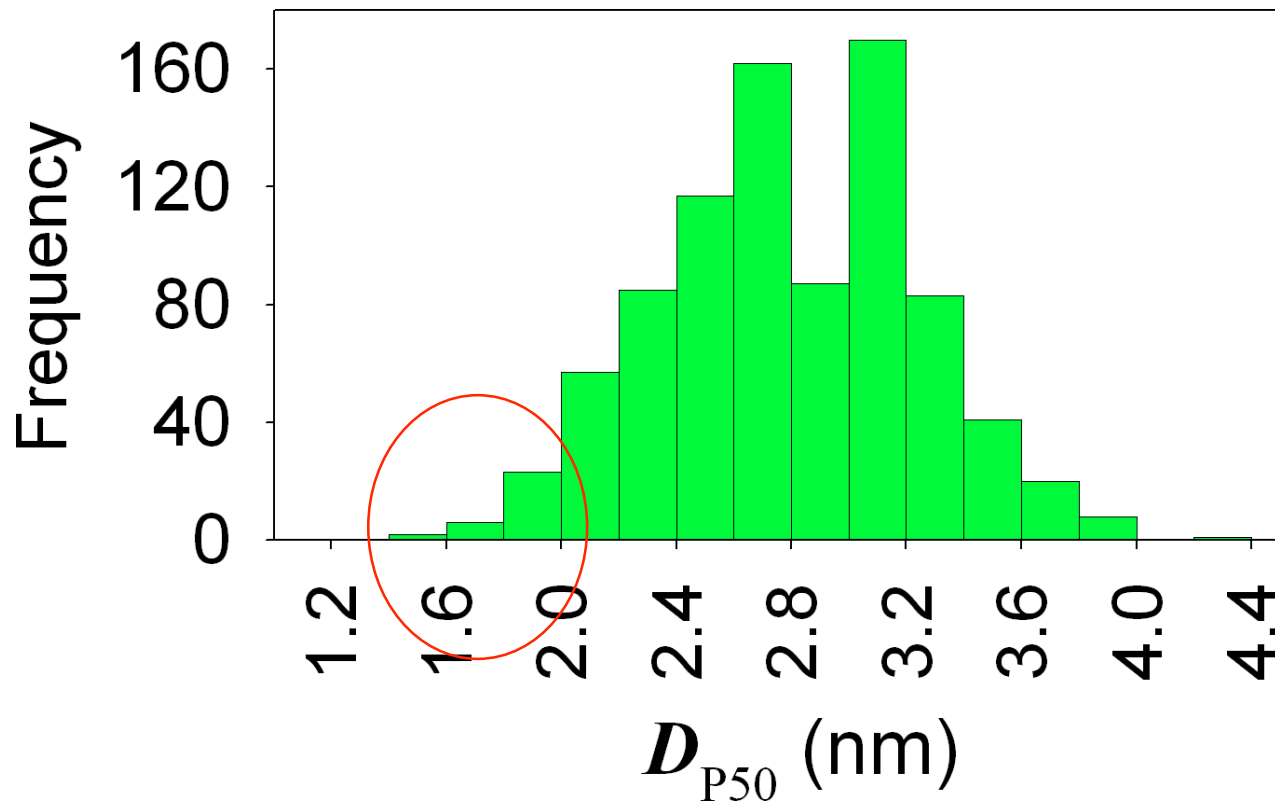
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- Set Condenser T at 10 °C
- Increase saturator temperature until Homogeneous nucleation rate =  $1 \text{ cm}^{-3}\text{s}^{-1}$
- Choose working fluid to optimize detection of very small ( $\sim 1 \text{ nm}$ ) particles

# Frequency Distribution of $D_{P50}$ for 861 Organic Liquids (Kenjiro Iida)

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- ◆ Our model predicts that several of these liquid organic working fluids activate particles smaller than 2 nm.

*Iida., PhD Thesis, 2007*

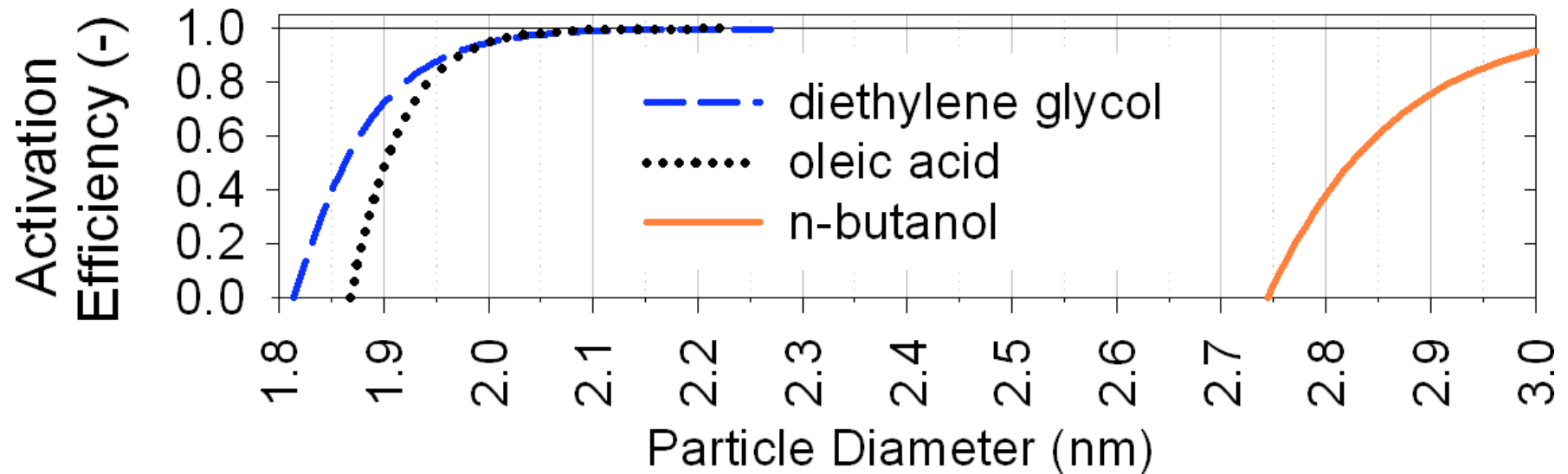


# Two Groups of Working Fluids (Kenjiro Iida)

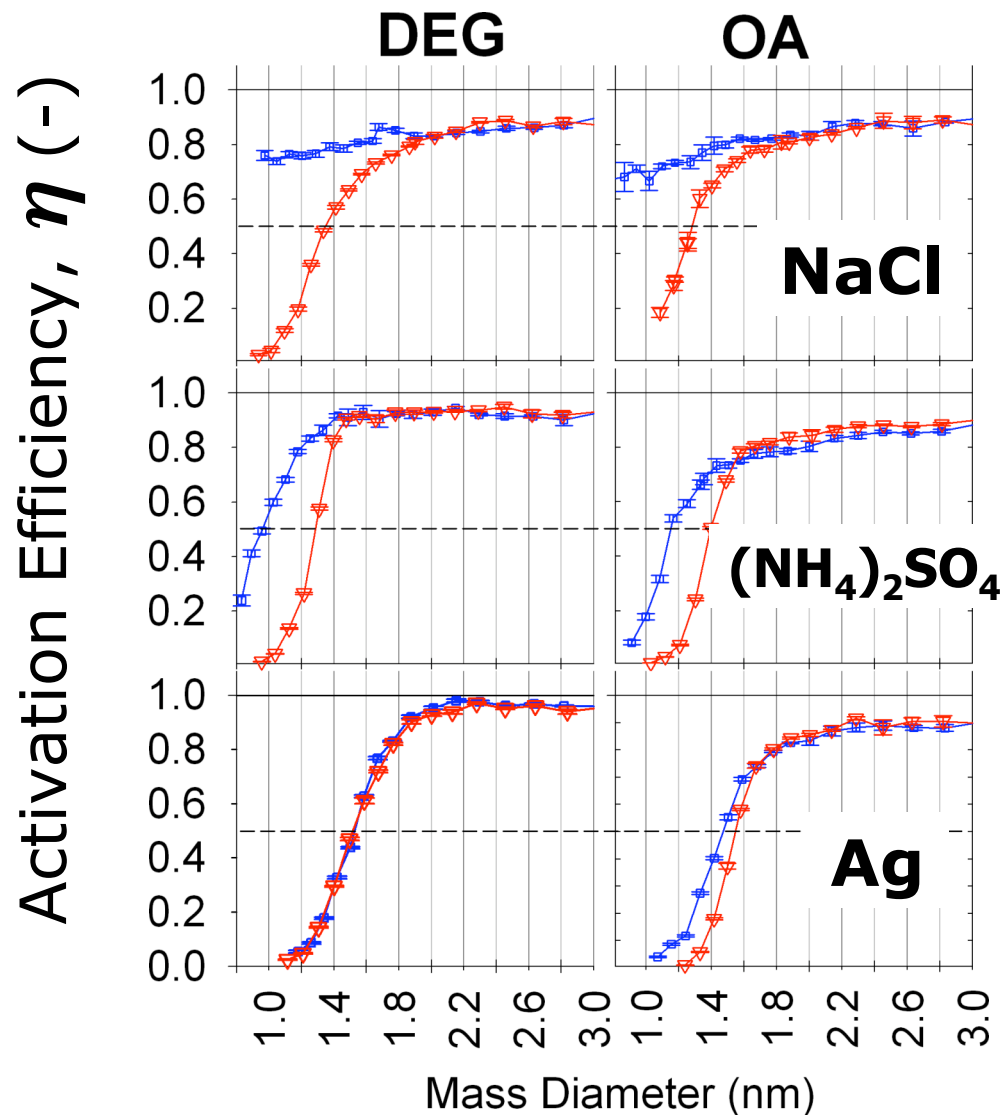
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High Surface Tension → Diethylene Glycol

Low Vapor Pressure → Oleic Acid



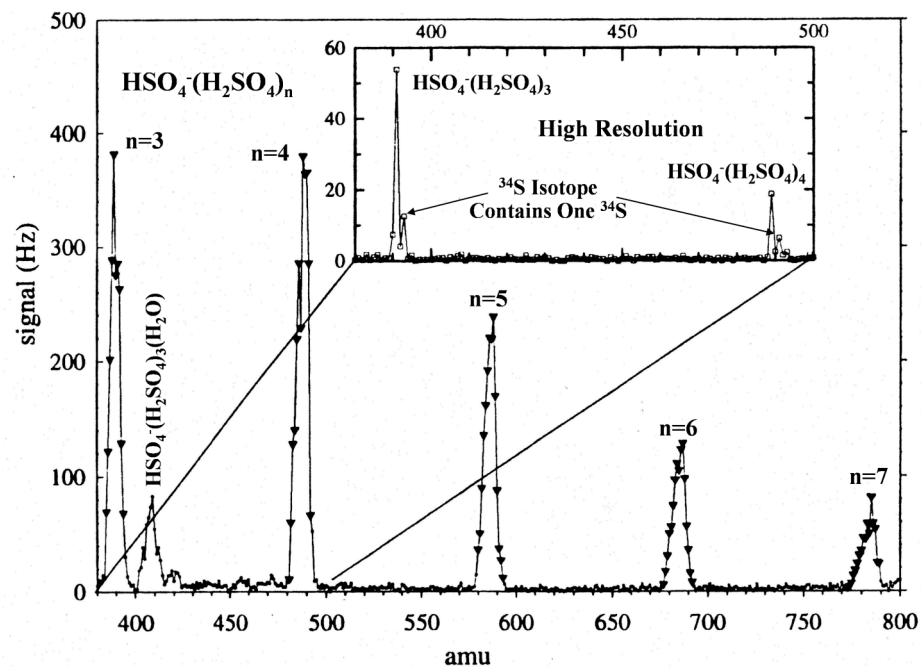
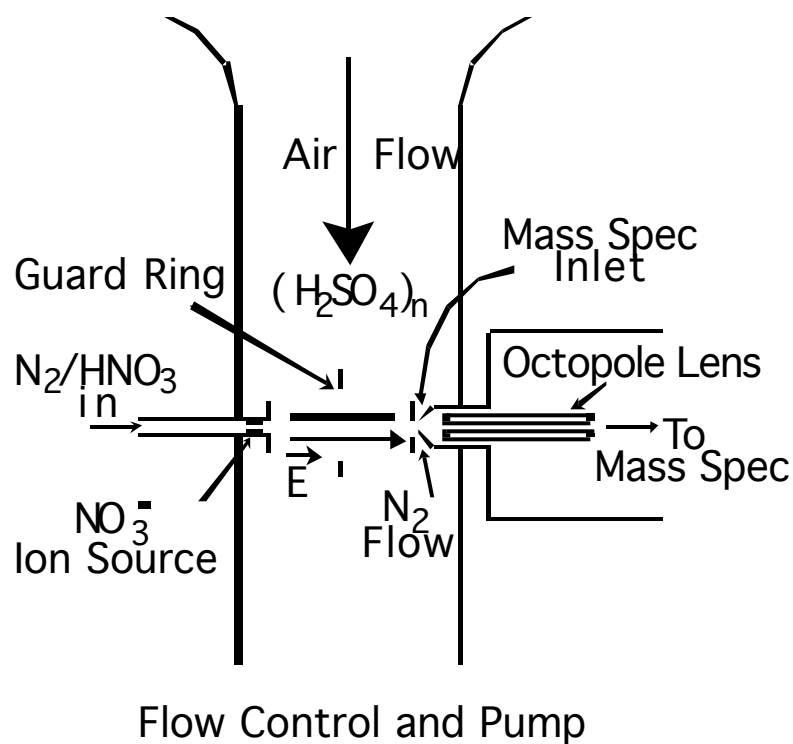
# Experimental Results (Kenjiro Iida)



## Qualitative Trends

- ◆ Negatively charged particles are more easily activated
- ◆ Minimum detectable size is sensitive to particle composition

# Cluster-CIMS: Neutral Molecular Clusters (Cluster-Chemical Ionization Mass Spectrometer):



*Data from laboratory studies of  
Eisele and Hanson, 2000*

# What do we understand about growth rates of freshly nucleated particles?

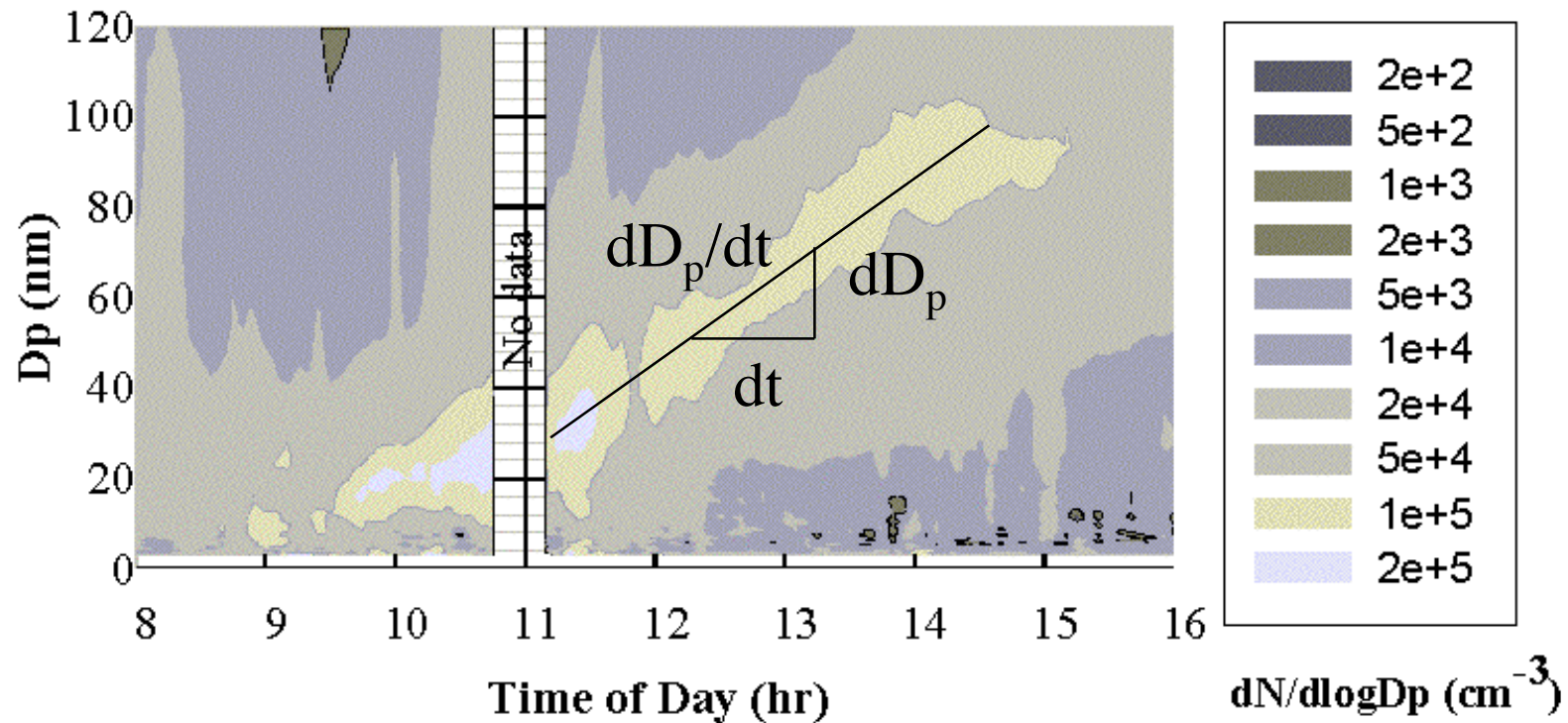
- Overview of observations
- Dependence on  $[\text{H}_2\text{SO}_4]$



# Growth Rates of Freshly Nucleated Particles

(Stolzenburg, McMurry et al, JGR 110, DOI:10.1029/2005JD005935, 2005)

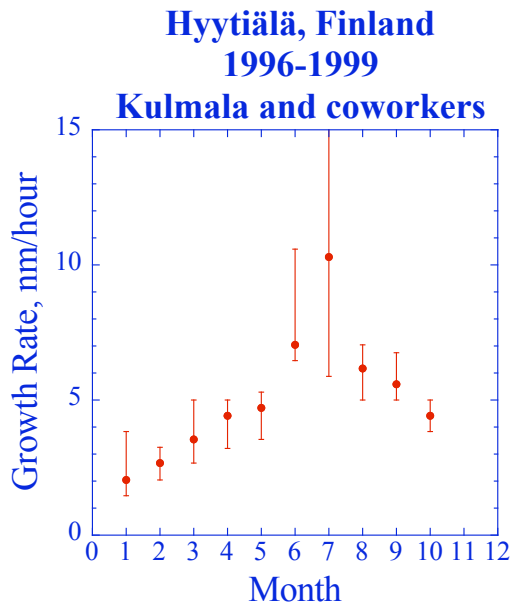
August 5, 2002, Atlanta, GA



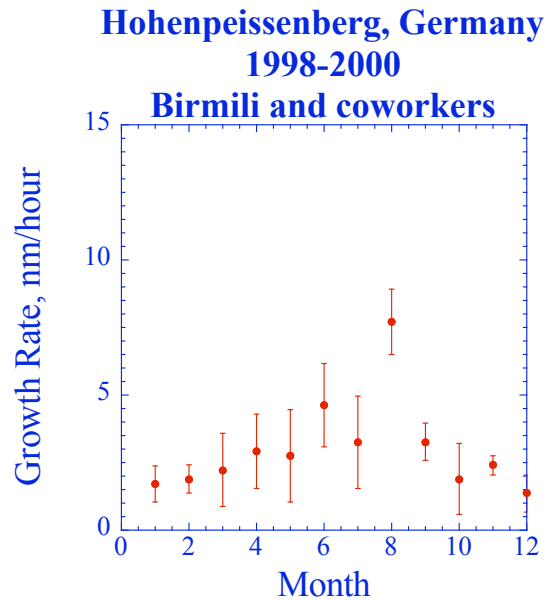
Stolzenburg et al., JGR, 2005

# Measured Diameter Growth Rates During Regional NPF Events at Three Locations

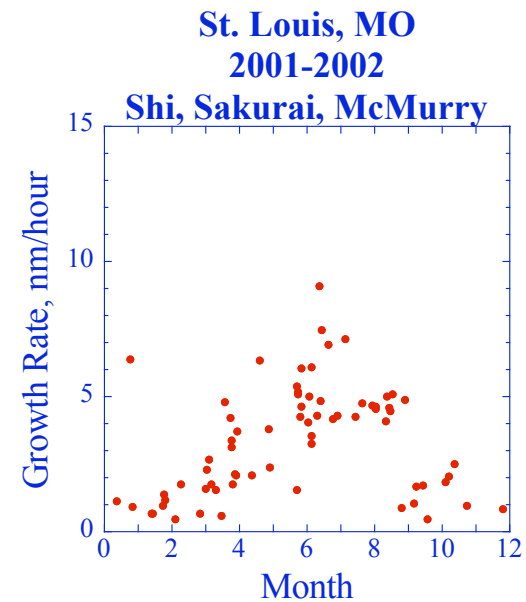
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Finnish Boreal  
Forest



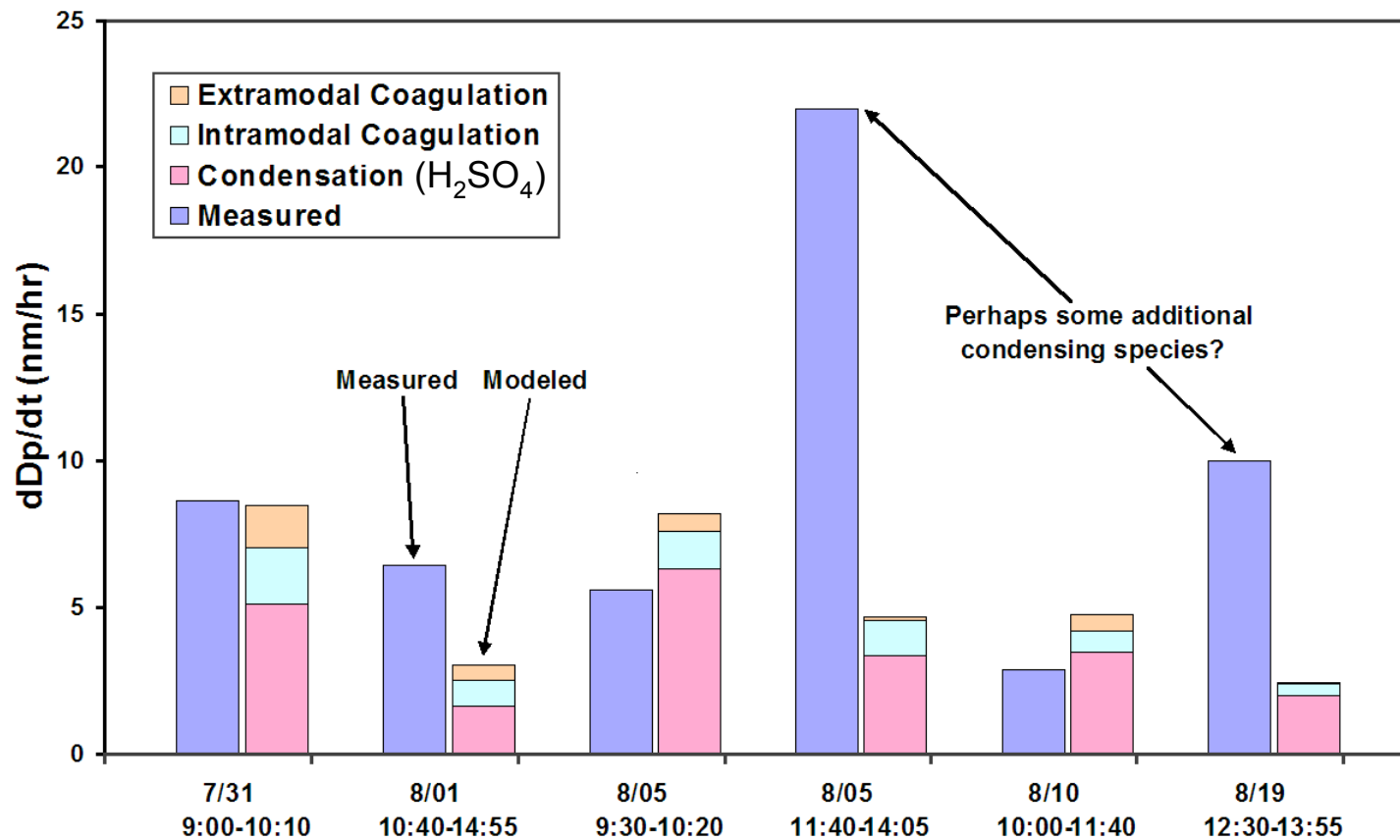
Continental  
Europe



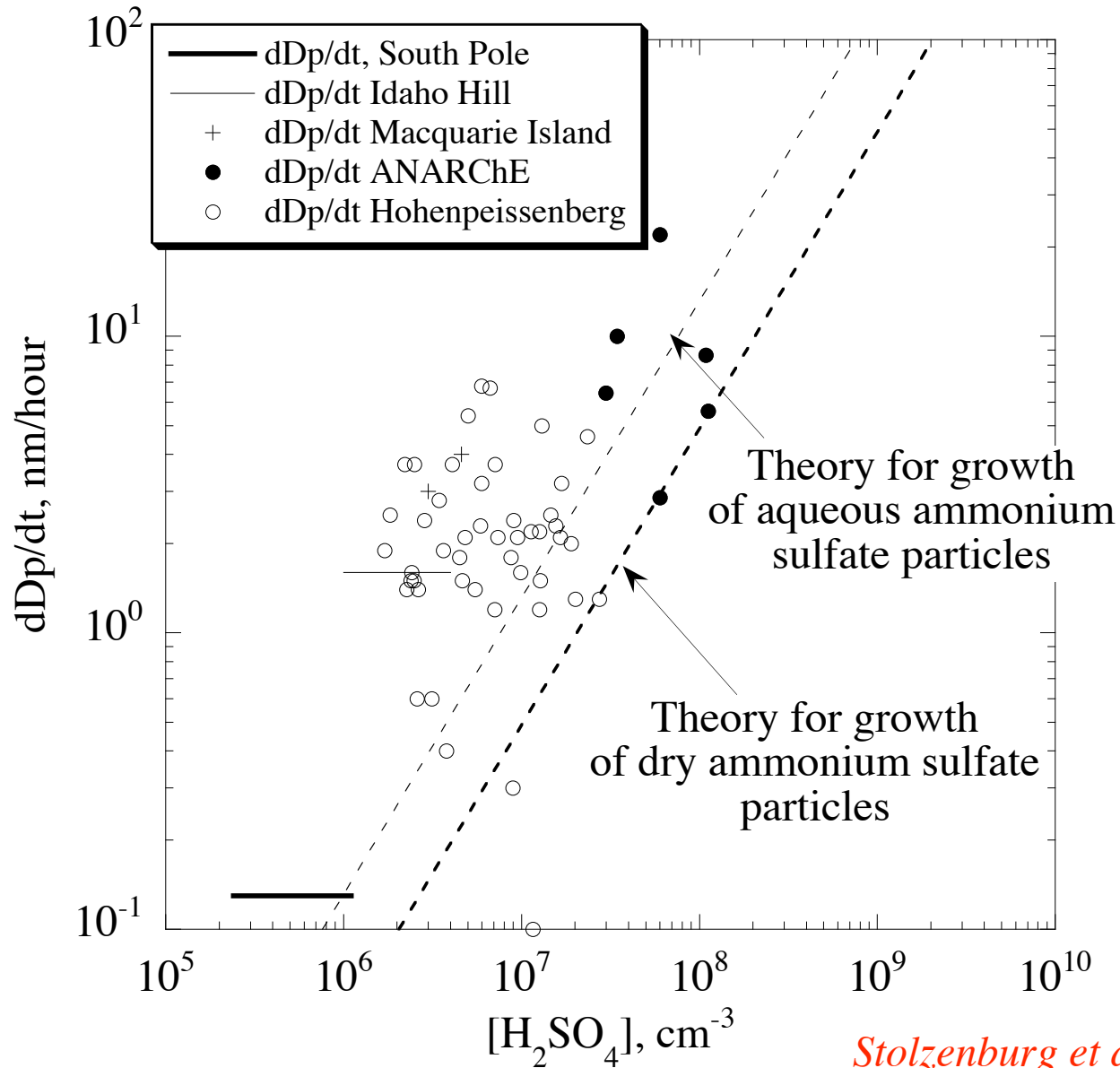
Major U.S. City

# Comparison of Measured and Calculated Growth Rates (Atlanta 2002)

Modal Diameter Growth Rate



# Summary of Diameter Growth Rates vs $[H_2SO_4]$



*Stolzenburg et al, JGR, 2005*



Current work aimed at understanding why growth rates are so high.

-TDCIMS\* measurements of composition:  
Reconcile measured growth rates with chemical processes (Jim Smith & Kelley Barsanti NCAR)

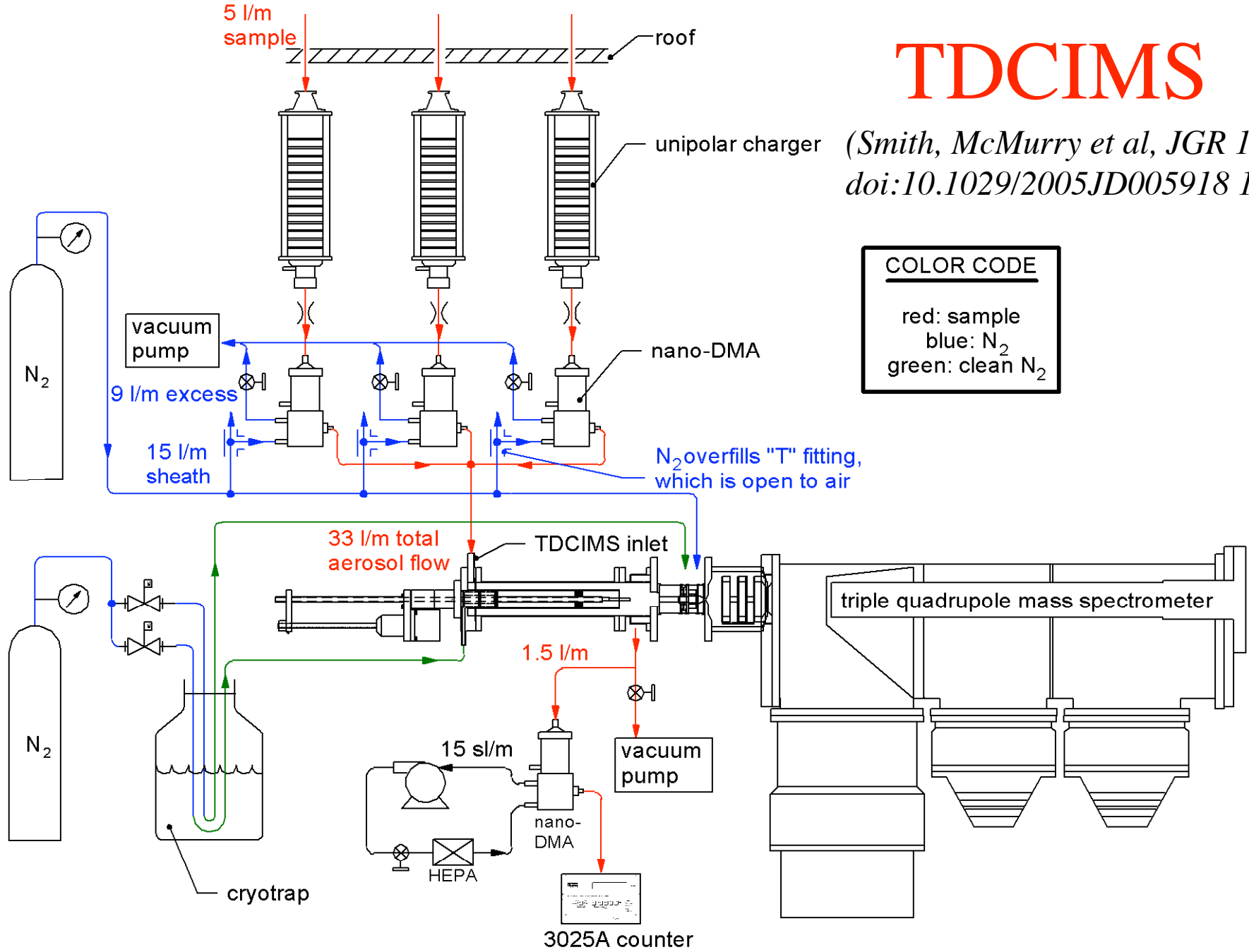
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\*Thermal Desorption Chemical Ionization Mass Spectrometer

# TDCIMS

(Smith, McMurry et al, JGR 110, doi:10.1029/2005JD005918 1200)

**COLOR CODE**  
red: sample  
blue: N<sub>2</sub>  
green: clean N<sub>2</sub>



# Conclusions

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- ◆ Nucleation is likely to affect climate: Concentrations of Cloud Condensation Nuclei
  - Nucleation occurs on 5 to 40% of the days throughout the year
  - Particle Growth rates are fast: 20 to 100 nm in a day.
- ◆ Factors that determine occurrence and extent of NPF
  - “L”
  - $J \sim K[H_2SO_4]^2$ , (K's vary with location, however)
  - Cluster-CIMS and 1 nm SMPS show promise for understanding K.
  - Ion Induced Nucleation on some days
  - Participating species are not all yet known
- ◆ TDCIMS is providing new information on nanoparticle composition
  - In Atlanta, nucleated particles were mostly  $(NH_4)_2SO_4$
  - In Mexico City, nucleated particles were mostly organics and nitrates (5-10% sulfates)
    - » consistent with  $H_2SO_4$  contributions to growth

*Thank You*